

Section 1: Particulars (Mandatory)

Registered Name of Business ("The Entity") _____

Country of Incorporation or Organisation _____

Registered Address _____

Entity Registration No. (in case of Partnerships, LLPs) or Company Identification No. _____

Section 2: Entities with US TIN

This section will establish whether the business is US-incorporated.

Are you incorporated, established, constituted or organised in the US? Yes No

Please state your US TIN _____

Are you a Specified US Person? Yes No

Section 3: Classification for FACTA Purposes (This section is only applicable to Non-US Entities)

Applicant should tick one of the following statements that best describes the Entity

Active Non-Financial Foreign Entity

You are not a Financial Institution, AND your Stock is regularly traded on one or more established securities markets; OR You are Related Entity of an Entity the stock of which is regularly traded on an established securities market; OR You derive less than 50% of your gross income from Passive Income in the previous year and less than 50% of your assets were used to produce Passive Income in the previous year; OR Charities registered under Section 12A read with Section 12AA of the Income Tax Act, 1961 and/or is established with a 'charitable purpose' as defined in Section 2(15) of the Income Tax Act, 1961; OR Substantially all your business activities consist of holding (wholly or partially) the outstanding share of, OR Providing financing and services to, one or more subsidiaries that engage in non-FI trade/business. However, you do one or more subsidiaries that engage in non-FI trade/business. However, you do not operate as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purpose.

Exempt Beneficial Owner

You are any of the following:

- Central or State Government and government-linked entities (including Indian Ministries, [Political sub-divisions], Government instrumentality or local or other State authority within the territory of)
- International Organisations
- Central Bank
- Qualifying Funds
- Investment Entity wholly owned by exempt beneficial owners

Passive Non-Financial Foreign Entity (NFFE)

You are not able to fulfil the definition of a financial institution, Active NFFE or Exempt Beneficial Owner

Others

You do not fall under any of the above statuses. Please provide an US IRS Form W-8BEN-E/W-8IMY/W-8ECI/W-8EXP

Please provide your Non-US TIN (in case applicant ticks on Active Non-Financial Foreign Entity, Exempt Beneficial Owner or Passive Non-Financial Foreign Entity): _____

Section 4: US Controlling Persons of Passive NFFE

Applicant should tick one of the following statements if your FATCA Classification is Passive NFFE

None of the Controlling Persons (whose percentage of ownership is 25% or greater) of the Company is/are US Person(s)

One or more of the Controlling Persons (Whose percentage of ownership is 25% or greater) of the Company is/are US Person(s) details of whom are listed below.

Name (To be specified if second statement is ticked by the applicant)

Address (To be specified if second statement is ticked by the applicant)

US TIN (To be specified if second statement is ticked by the applicant) _____

Section 5: Acceptance and Undertakings

This section secures acceptance and undertakings from the Entity

Signature of the Director of the Entity _____

Name _____

PAN/Passport No. _____ Date

Signature of the Director of the Entity _____

Name _____

PAN/Passport No. _____ Date

Section 6: For Bank Use Only

For Branches/Business Unit Use Only

FATCA cure document enclosed: Please state _____

FATCA Identification Status: Complete, Pending _____

Attended/Processed By

Name _____

Lan ID _____ Staff ID _____

Signature _____ Date

Signature Verified by (For Thumbprint - Witnessed by)

Name _____

Lan ID _____ Staff ID _____

Signature _____ Date

For CMO Use Only

Processed By

Name _____

Signature _____ Date

Approved By

Name _____

Signature _____ Date